

FEBRUARY 2022

 Bulletin

ISSUE 2 OF 2022



In this issue, we are very pleased to announce the publication of the AFROSAI-E 2022 Operational Plan and the expanded Integrated Competency Framework (2nd edition), as well as the confirmed dates for the in-person 2022 Governing Board meeting. It has also been a busy month in the region with in-person workshops taking place in Eswatini, Uganda, Mozambique and Kenya.

Read more below about these exciting announcements and regional workshops.



After a two-year gap in meeting face-to-face, we are delighted to announce that the annual meeting of the AFROSAI-E Governing Board will take place from 9 to 12 May 2022 in Banjul, The Gambia. The pandemic has highlighted our desire for authentic human connection. The programme for the Strategic Review will therefore strongly emphasise engagement and discussion, to give delegates the opportunity to reconnect. We will be distributing the official invitations and registration information to members and stakeholders in the coming days.



The Operational Plan outlines our planned activities for 2022, in line with the goals and targets of our 2020 - 2024 Strategic Plan. Our activities are informed by the capacity building process defined in our Strategic Plan and is aligned to SAI needs. The success of this Plan is therefore reliant on the joint efforts of both SAs and the Secretariat.

The 2022 activities reflect our ongoing efforts to learn from our experience and to find innovative approaches to strengthen SAI capacity. Through the SAI capacity development plans and increased engagement with our partners and stakeholders, we will continue to build synergies with regional and national programmes and focus on coordinating efforts. [Click here to download the Operational Plan.](#)

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**Expanded AFROSAI-E Integrated Competency Framework**

High performing SAIs clearly understand the competencies their employees need to perform their assigned roles effectively. This understanding enables the SAI to train and develop staff, and to recruit the desired candidates. To aid SAIs with these efforts, we issued our Integrated Competency Framework in 2017, which defines the functional knowledge, skills, behavioural and leadership competencies for audit and other professional roles in the SAI.

We are pleased to announce that the 2nd edition of the Integrated Competency Framework is out now. Following an in-depth investigation of the distinct roles within SAIs, we have expanded the Framework to include competencies for additional roles. The ICF now includes comprehensive competency definitions for compliance, financial, performance, IT, forensic and environmental audit. It also covers other professional roles including communication, human resources, finance, IT support, procurement and legal. To download a copy of the ICF, click the image on the right or [visit our website](#).



## Collaborative effort to support SAI Eswatini with emerging issues in public sector audit

Auditing the extractive industries and large infrastructure projects using performance audit methodology, are significant emerging issues within public sector audit. AFROSAI-E and the Financial Services Volunteer Corps

(FSVC) have formed a three-party collaborative forum with SAI Eswatini, to capacitate the SAI to perform impactful audits on these emerging issues.

The Extractive Industries Country Risk Assessment Workshop held in February 2022 was the first of several face-to-face initiatives planned within this forum. The workshop created an opportunity for participation by various stakeholders in the extractive industries sector in Eswatini, including the Ministry of Natural Resources Department of Mines and Minerals, Ministry of Natural Resources Finance Sectoral Officer, Ministry of Tourism and Environmental Affairs and the Eswatini Environment Authority (EEA).



**East Africa FAM & CAM Workshop, 21-25 Feb 2022**

**We are excited to start with our regional rollout strategy to implement the revised Financial Audit Manual #FAM and Compliance Audit Manual #CAM as the official AFROSAI-E Regularity Audit manuals.**

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During our Technical Conference in 2021, we officially introduced the revised Financial Audit Manual (FAM) and Compliance Audit Manual (CAM) as the official AFROSAI-E Regularity Audit manuals. To support the smooth transition in implementing these manuals, we are planning to hold sub-regional workshops to train SAI trainers, before starting with in-country workshops. These SAI trainers will be responsible to roll out the revised methodologies within their respective SAIs. SAI Kenya hosted the first of the subregional workshops last week. Participants from Sudan, Uganda, Kenya, Rwanda and Tanzania took part.

## A-SEAT Change Management Workshop in Mozambique

The AFROSAI-E SAI Enhanced Audit Tool (A-SEAT) is a powerful, highly customisable web-based audit management system. It offers a cost effective and efficient solution for SAIs to automate their audit flow, track the performance of teams and real-time activities and centrally store audit documentation.

Critical success factors for the A-SEAT implementation involves getting the commitment of management and buy-in from staff. To facilitate this, we are implementing change management workshops for SAIs who are in the process or have plans to implement A-SEAT. These sessions include a change readiness assessment to aid the SAI to understand potential buy-in challenges and develop plans to address them. Our HR and change management expert, Marianna van Niekerk was in Mozambique this week to support the SAI with their efforts to prepare staff for the A-SEAT deployment.



## 3-Module Performance Audit Course finalisation in Uganda

Due to the pandemic travel restrictions and the challenges SAIs faced to perform the audits during this time, the Performance Audit 3-Module course planned for 2020/21 could not be finalised. We were however able to conduct the Basic module (Module 1), the Pre-study (Module 2) and greater parts of the Main-study (Module 3) online, with the hope holding the necessary in-person sessions when it was possible to do so. This past week the long-awaited final workshop kicked off in Uganda with 24 participants from Uganda, Zambia and The Gambia taking part. Experienced resources from the Secretariat, the SAIs of Kenya, Uganda, Tanzania, The Gambia, and Sweden facilitated the workshop. A total of 10 draft reports were reviewed in the Main Study Module, with six of the reports already tabled.



## PERFORMANCE AUDIT 3-MODULE COURSE

21<sup>ST</sup> - 25<sup>TH</sup>

FEBRUARY 2022  
ENTEBBE - UGANDA



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## Fruitful engagements during SAI Uganda support visit

### ***Audit of large infrastructure projects, extractive industries and emerging issues***

We held a series of highly productive engagements with SAI Uganda on key emerging issues during an in-country support visit in February. These included discussions on strengthening collaboration with the INTOSAI Working Group on Extractive Industries, which SAI Uganda chairs. With SAI Uganda already working with their own guidelines on auditing large infrastructure projects, we engaged the team on collaborating to develop a curriculum on this focus areas for the region. We also held discussion on the Public Finance Management Reporting Framework and the way forward for the SAI to adopt it.

### ***Strengthening relations with The East African Association of Anti-Corruption Authorities (EAACAA)***

Together with the GIZ, we held a highly fruitful engagement with representatives of the EAACAA to discuss opportunities for collaboration. The EAACAA executive board confirmed during their last meeting the need for collaboration with SAIs. Conducting joint research activities will be the first steppingstone to foster stronger and more effective relationships between SAIs and anti-corruption agencies.



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